



WHAT DOES GREATMARK INVESTMENT PARTNERS DO WITH YOUR PERSONAL INFORMATION?

Why?

Investment advisors and other financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect are based on the services we provide to you. This information can include:

- Social Security number and other personally identifiable information (PII)
- Investment account balances and information
- Assets (what you own) and liabilities (what you owe), and income/cash flow history
- Information such as titling and numbers for outside accounts, such as checking or savings accounts held away from your brokerage/custodian firm

How?

All financial companies need to share clients' personal information to run their everyday business and provide their services. In the section below, we list reasons why we share your personal information; and whether you can limit this sharing.

- We collect and use information we believe will help us better serve you in our investment advisory role. We collect most of this information directly from you, in conversations or through written communication, and from the brokerage/custodian firm that holds your account assets. In certain circumstances, we may receive information concerning your financial situation from one of your other advisors, such as an accountant or attorney, if you have instructed them to share that information with us.
- We restrict access to your personal information to those within our firm who need to know in order to provide our services to you. Generally, every member of our firm is involved in providing you with those services. We have in place physical, electronic, and procedural safeguards in order to guard your personal information.
- We will share information about you with the brokerage/custodian firm that holds your assets only to the extent that it helps us and/or your custodian better serve you and administer your investment account.
- We will share information about you, such as account holdings and transactions, with certain technology providers and other third-party service providers that will help us better serve you and administer your investment account. Any such firms are required to protect the confidentiality and security of that information and may not reuse it for any other purpose.
- We will share information about your financial situation with one of your other advisors, such as your accountant, only if you instruct us to do so.
- We will share information about you to other outside parties only if we are required and permitted to do so by law or regulation.
- ***We never share any of your personal information with any affiliates or non-affiliate third parties for marketing purposes to offer either our own services or the products or services of other companies.*** You do not need to opt-out of this type of sharing.

We greatly value the trust that you have placed in us. While that trust guides us to safeguard the privacy and confidentiality of the information you provide us, it extends to every other facet of our relationship as well. You do not need to respond to this notice, but if you have any questions or comments concerning it, please contact us at (706) 327-2128 or toll-free (877) 327-2128.



ADDITIONAL INFORMATION ON CLASS ACTION CLAIMS SERVICE

In our ongoing effort to serve you better, effective October 2024, Greatmark Investment Partners, Inc. has engaged a third-party service provider, Chicago Clearing Corporation (CCC), to monitor and file securities claims class action litigation paperwork with claims administrators on behalf of our firm's clients. When a claim is settled and payments are awarded to Greatmark clients, it will be necessary to share client information, such as name and account number, with CCC in connection with this service.

Greatmark does not receive any fees or remuneration in connection with this service, nor do we receive any fees from the third-party provider. CCC earns a fee based on a flat percentage of all claims it collects on behalf of Greatmark's clients. This fee is collected and retained by CCC out of the claims paid by the claim administrator, and the net balance of the funds will be deposited into your brokerage account (or, in some cases, paid to you by check). **You are included in this service unless you choose to opt out.** You may opt out of this service at any time. If you opt out, Greatmark will not have an obligation to advise or take any action on your behalf with regard to class action litigation involving investments held in or formerly held in your account.

We recognize that activity in the Securities Class Action Litigation area has been increasing in recent years and we want to provide our clients with an opportunity to pursue these class action litigations. We believe that using CCC's services will help us maximize your potential recovery of these claims. We are pleased to provide this service to you, but we want to provide you **the opportunity to "OPT -OUT"** of this service if you choose. You are entitled to pursue any securities claims yourself. If you choose to Opt-Out, please contact us by email at info@greatmarkonline.com or telephone (706) 327-2128 or (877) 327-2128.